04/14/2010 14:08

(Rev. 12/2004)

Image# 10990526166

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee Office Use Only 1. NAME OF **USE FEC MAILING LABEL** Example: If typing, type COMMITTEE (in full) OR TYPE OR PRINT over the lines NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COM-1100 H Street, NW ADDRESS (number and street) Suite 610 Check if different than previously WASHINGTON DC 20005 reported. (ACC) FEC IDENTIFICATION NUMBER **STATE** ZIPCODE A CITY A IS THIS **AMENDED** NEW C00109991 Χ REPORT OR (N) (A) **TYPE OF REPORT** (b) Monthly Nov 20 (M11) Feb 20 (M2) May 20 (M5) Aug 20 (M8) (Non-Election Year Only) Report (Choose One) Due On: Dec 20 (M12) (Non-Election Year Only) Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) (a) Quarterly Reports: Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE) April 15 Quarterly Report(Q1) 12-Day (c) Primary (12P) General (12G) Runoff (12R) July 15 PRE-Election Quarterly Report(Q2) Report for the: Convention (12C) Special (12G) October 15 Quarterly Report(Q3) January 31 Quarterly Report(YE) in the Χ Election on State of July 31 Mid-Year (d) 30-Day Report(Non-election Year Only) (MY) Post -Election General (30G) Runoff (30R) Special (30S) Report for the: Termination Report (TER) in the Election on State of 07 0 1 2009 12 3 1 2009 through Covering Period I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Mr. Christopher M Marston Type or Print Name of Treasurer Electronically Filed by Mr. Christopher M Marston 04 14 2010 Signature of Treasurer Date NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g. Office **FEC FORM 3X** Use

FE6AN026

Only

A. Form/Schedule : **F3XA**Transaction ID :

Amended in response to Request for Additional Information, dated 3/26/2010; Schedule B now includes information on the office sought, state and congressional district for all line 23 transactions.

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

3 / 25

Write or Type Committee Name

FEC Form 3X (Rev. 02/2003)

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COM-

D " D 0 1 3 1 07 2009 12 2009 Report Covering the Period: From: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date Cash on Hand (a) 2009° 34778.33 January 1 (b) Cash on Hand at 72028.33 Begining of Reporting Period 23500.00 74250.00 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 95528.33 109028.33 6(a) and 6(c) for Column B) 30500.00 44000.00 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 65028.33 65028.33 (subtract Line 7 from Line 6(d)) Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 4 / 25

Write or Type Committee Name

NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE

Report Covering the Period:

From:

0 7 D D D 1

2009

To:

м м 1 2 ^D 3 1

^Y 2009

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	s (other than loans) From: als/Persons Other		
	olitical Committees nized (use Schedule A)	22000.00	70021.00
` '	itemized	250.00	2979.00
	TAL (add es 11(a)(i) and (ii)	22250.00	73000.00
	I Party Committees	0.00	0.00
(such a	Political Committees s PACs)ontributions (add Lines	1250.00	1250.00
	(),(b) and (c)) (Carry o Line 33, page 5)	23500.00	74250.00
	rom Affiliated/Other ittees	0.00	0.00
3. All Loans Re	eceived	0.00	0.00
	ments Received	0.00	0.00
(Refunds, R (Carry Totals	ebates, etc.) s to Line 37, page 5)	0.00	0.00
to Federal ca	Contributions Made andidates and Other mittees	0.00	0.00
7. Other Feder (Dividends, I	al Receipts Interest, etc.)	0.00	0.00
	om Non-Federal and Levin Funds		
` '	eral Account chedule H3)	0.00	0.00
(b) Levin Fu	nds (from Schedule H5)	0.00	0.00
(c) Total Tra	nsfer (add 18(a) and 18(b)).	0.00	0.00
	ts (add Lines 11(d), 5, 16, 17, and 18(c))	23500.00	74250.00
). Total Federa	I Receipts e 18(c) from Line 19)	23500.00	74250.00

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

of Disbursements

5 / 25

II	. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Opera	ating Expenditures: Shared Federal/Non-Federal		I .
	Activity (from Schedule H4)	0.00	0.00
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
` '	Other Federal Operating Expenditures	0.00	0.00
	otal Operating Expenditures		
(add 21(a)(i), (a)(ii) and (b))	0.00	0.00
	fers to Affiliated/Other Party nittees	0.00	0.00
23. Contri	butions to	0.00	0.00
Feder and C	al Candidates/Committeesther Political Committees	30500.00	44000.00
-	endent Expenditure Schedule E)	0.00	0.00
25. Coord	linated Expenditures Made by Party		
Comn (use S	nittees (2 U.S.C. 441a(d)) Schedule F)	0.00	0.00
26. Loan	Repayments Made	0.00	0.00
	Madeds of Contributions To:	0.00	0.00
(a) li	ndividuals/Persons Other	0.00	0.00
I	han Political Committees		
` '	Political Party Committees	0.00	0.00
` '	Other Political Committees such as PACs)	0.00	0.00
,	otal Contribution Refunds		
(add Lines 28(a), (b), and (c))	0.00	0.00
29. Other	Disbursements	0.00	0.00
30. Feder	ral Election Activity (2 U.S.C 431(20))		
	hared Federal Election Activity		
,	rom Schedule H6)	0.00	0.00
() Federal Share		
(ii) "Levin" Share	0.00	0.00
` '	ederal Election Activity Paid Entirely /ith Federal Funds	0.00	0.00
	otal Federal Election Activity (add	0.00	0.00
(-)	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total	Disbursements (add Lines 21(c), 22,		
	4, 25, 26, 27, 28(d), 29 and 30(c))	30500.00	44000.00
-,-			
	I Federal Disbursements		
	tract Line 21(a)(ii) and Line 30(a)(ii)	20500.00	44000.00
trom	Line 31)	30500.00	44000.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) 6 / 25

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	23500.00	74250.00
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	23500.00	74250.00
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

FE6AN026

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	.)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7/25 (check only one)
Any information copied from such Reports and or for commercial purposes, other than using	d Statements may	not be sold or used by any personal ress of any political committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMA			
Full Name (Last, First, Middle Initial) AAVIN Equity Partners LP			Date of Receipt
Mailing Address 118 3rd Avenue, Sui	ite 630		11 13 2009
City	State	Zip Code	Transaction ID: SA11AI.7058
Cedar Rapids FEC ID number of contributing federal political committee.	C	52401	Amount of Each Receipt this Period 1250.00
Name of Employer	Occupation	n	Partnership (See Individual Partners Below)
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1250.00	
Full Name (Last, First, Middle Initial) Mr. Theis Kolln Mailing Address 118 3rd Ave. SE			Date of Receipt
#630 City	State	Zip Code	1 1 1 3 2 0 0 9 Transaction ID: SA11AI.7058.0
Cedar Rapids	IA	52401	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer AAVIN Equity Advisors, LLC	Occupation Investme	n ent Executive	Partnership Allocation
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	[MEMO ITEM]
Full Name (Last, First, Middle Initial) Mr. James Thorpe			Date of Receipt
Mailing Address 118 Third Ave. #837			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cedar Rapids	State IA	Zip Code 52401	Transaction ID: SA11AI.7058.1 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	SETO I	250.00
Name of Employer AAVIN, LLC	Occupation Investme	n ent Executive	Partnership Allocation
Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 250.00	[MEMO ITEM]
SUBTOTAL of Receipts This Page (optional))	_	1250.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 25 (check only one) X 11a
Any inform or for com	nation copied from such Reports and S imercial purposes, other than using the	tatements ma name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
\	OF COMMITTEE (In Full) ONAL ASSOCIATION OF SMALI EE	L BUSINES	S INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
	ame (Last, First, Middle Initial) c Hender			Date of Receipt
Mailing	Address 118 Third Ave. #800			1 1 1 3 2 0 0 9
City		State	Zip Code	Transaction ID: SA11AI.7058.2
<u>Ceda</u>	r Rapids	IA	52401	Amount of Each Receipt this Period
	O number of contributing political committee.	С		250.00
Name AAVIN	of Employer I, LLC	Occupatio		Partnership Allocation
Receip			ent Executive	I
F	Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	[MEMO ITEM]
	ame (Last, First, Middle Initial) ul Rhines	1		Date of Receipt
	Address 118 Third Ave. #800			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City		State	Zip Code	Transaction ID: SA11AI.7058.3
<u>Ceda</u>	r Rapids	IA	52401	Amount of Each Receipt this Period
	O number of contributing political committee.	C		250.00
Name AAVIN	of Employer I, LLC	Occupation Investme	ent Executive	Partnership Allocation
	ot For: Primary General Other (specify) ♥	Aggregate	e Year-to-Date ▼ 250.00	[MEMO ITEM]
	ame (Last, First, Middle Initial) k Kaalberg			Date of Receipt
	Address 118 Third Ave. #800			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City		State	Zip Code	Transaction ID: SA11AI.7058.4
	r Rapids	IA	52401	Amount of Each Receipt this Period
	O number of contributing political committee.	С		250.00
Name AAVIN	of Employer I, LLC	Occupation Investme	n ent Executive	Partnership Allocation
	ot For: Primary General Other (specify)	, '	e Year-to-Date ▼ 250.00	[MEMO ITEM]

SCHEDULE A (FEC Form 3X)		Use separate schedule(s)	FOR LINE NUMBER: PAGE 9 / 25		
ITEMIZED RECEIPTS		for each category of the	(check only one) X 11a 11b 11c 12		
		Detailed Summary Page	13 14 15 16 16		
Any information copied from such Reports and St or for commercial purposes, other than using the	atements may	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.		
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL					
MITTEE					
Full Name (Last, First, Middle Initial) Mr. Joe Alala			Date of Receipt		
Mailing Address 1228 East Morehead St #102	i.		11 30 2009		
City	State	Zip Code	Transaction ID: SA11AI.7081		
<u>Charlotte</u>	NC	28204	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C		5000.00		
Name of Employer CapitalSouth Partners, LLC	Occupatio	n ent Executive	7		
Receipt For:		e Year-to-Date ▼			
Primary General		5000.00	1		
Other (specify)	0 0		1		
Full Name (Last, First, Middle Initial) BCA Mezzanine Fund, LP			Date of Receipt		
Mailing Address One Turks Head Place	Mailing Address One Turks Head Place				
City	State	Zip Code	Transaction ID: SA11AI.7069		
Providence	RI	02903	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C		500.00		
Name of Employer	Occupatio	n	Partnership Contribution (see individual contributor memos below)		
Receipt For:	Aggregate	e Year-to-Date ▼			
Primary General Other (specify) ▼		500.00			
Full Name (Last, First, Middle Initial) Mr. Gregory Mulligan			Date of Receipt		
Mailing Address One Turks Head Place Suite 1492			M M / D D / Y Y Y Y Y Y 1 Y 1 2 2 2 2 0 0 9		
City	State	Zip Code	Transaction ID: SA11AI.7069.0		
Providence	RI	02903	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C		250.00		
Name of Employer Bay Capital Mezzanine Man- ageme	Occupatio Investme	n ent Executive	Partnership Allocation		
Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	[MEMO ITEM]		
SUBTOTAL of Receipts This Page (optional)			5500.00		

SCHEDULE A (FEC Form 3X)

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 25 (check only one) X 11a 11b 11c 12 13 14 15 16
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	and Statements may not be sold or used by any persong the name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
MITTEE Full Name (Last, First, Middle Initial) Franz L Pool		Date of Receipt
Mailing Address One Turks Head P		12 22 2009
City Providence	State Zip Code RI 02903	Transaction ID: SA11AI.7069.1 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer BCA Mezzanine Fund, LP	Occupation Finance	Partnership Allocation
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	[MEMO ITEM]
Full Name (Last, First, Middle Initial) Mr. Stephen Broun		Date of Receipt
Mailing Address 11 South Meramed Suite 2900		09 18 7 9 9
City	State Zip Code	Transaction ID: SA11AI.7075
St. Louis FEC ID number of contributing federal political committee.	MO 63105	Amount of Each Receipt this Period 500.00
Name of Employer Capital For Business, Inc.	Occupation Investment Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Frank Cheswick		Date of Receipt
Mailing Address 30 West Monroe S	Street, Suite 1310	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Chicago	State Zip Code IL 60603	Transaction ID: SA11AI.7065 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Aldine Capital Partners	Occupation Finance	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (options	al)	1000.00

SCHEDULE A (FEC Form 3X)

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 25 (check only one) X 11a
Ar or	ny information copied from such Reports and St for commercial purposes, other than using the	atements may	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions
	NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL MITTEE	. BUSINES	S INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
۷.	Full Name (Last, First, Middle Initial) Diamond State Ventures			Date of Receipt
	Mailing Address 200 South Commerce,	Suite 400		10 14 2009
	City	State	Zip Code	Transaction ID: SA11AI.7084
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		2000.00
	Name of Employer	Occupatio	n	Partnership Contribution (see memo entries for individual attribution)
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		2000.00	
_	Full Name (Last, First, Middle Initial) Mr. Joseph Hays			Date of Receipt
	Mailing Address 225 South Pulaski St.			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: SA11AI.7084.0
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer DSV Management, LLC	Occupatio Investme	n ent Executive	Partnership Allocation
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	[MEMO ITEM]
_		0 0		.1
	Full Name (Last, First, Middle Initial) Mr. Larry Carter			Date of Receipt
	Mailing Address 225 South Pulaski Stre	et		10 14 2009
	City	State	Zip Code	Transaction ID: SA11AI.7084.1
	Little Rock	AR	72201	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		1000.00
	Name of Employer DSV Management, LLC	Occupatio Investme	n ent Executive	Partnership Allocation
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.00	[MEMO ITEM]
	UBTOTAL of Receipts This Page (optional)			2000.00

Any information copied from such Reports and S	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and S	totomente mou not be cold or used by any norse	
or for commercial purposes, other than using the	name and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALI MITTEE	BUSINESS INVESTMENT COMPANIE	S POLITICAL ACTION COM-
Full Name (Last, First, Middle Initial) Mr. Matthew Dwyer		Date of Receipt
Mailing Address 11320 Random Hills R Suite 600	oad	1 1 1 3 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: SA11AI.7099
<u>Fairfax</u>	VA 22030	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	4000.00
Name of Employer Matthews Carter & Boyce	Occupation Accountant	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 4500.00	
Full Name (Last, First, Middle Initial)		Data of Descipt
Mr. Claire Fairfield Mailing Address 118 Third Ave. #800		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: SA11AI.7082
Cedar Rapids	IA 52401	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer AAVIN, LLC	Occupation Investment Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary ☐ General Other (specify) ▼	500.00	
Full Name (Last, First, Middle Initial) Mr. James Goodman		Date of Receipt
Mailing Address 20 William Street		12 10 2009
City Wellesley	State Zip Code MA 02481	Transaction ID: SA11AI.7089 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Gemini Investors, LLC	Occupation Investment Executive	1
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
SUBTOTAL of Receipts This Page (optional)		5500.00

ľ	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS Any information copied from such Reports and Star	utamanta ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 25 (check only one) X
A.	NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL MITTEE Full Name (Last, First, Middle Initial) Mr. Patrick Hamner	ame and add	dress of any political committee to	solicit contributions from such committee.
	Mailing Address 12900 Preston Road City Dallas	State TX	Zip Code 75230	Transaction ID: SA11AI.7100 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee. Name of Employer Capital Southwest Corp. Receipt For: Primary General Other (specify) ▼	1	ent Executive 2 Year-to-Date 500.00	500.00
В.	Full Name (Last, First, Middle Initial) Mr. Steven M. Heinen Mailing Address 241 Ashbrook Court City Aurora FEC ID number of contributing federal political committee. Name of Employer Marquette Capital Partners Receipt For: Primary General Other (specify)		Zip Code 60504 n ent Executive e Year-to-Date ▼ 250.00	Date of Receipt M M / D D / Y Y Y Y Y O 9
_ С.	Full Name (Last, First, Middle Initial) Ms Hollis Huels Mailing Address 11 S. Meramec City St. Louis FEC ID number of contributing federal political committee. Name of Employer Capital For Business Receipt For: Primary General Other (specify)		Zip Code 63105 n ent Executive 2 Year-to-Date 1000.00	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	SUBTOTAL of Receipts This Page (optional)		·····	1750.00

SCHEDULE A (FEC Form	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 25 (check only one) X
Any information copied from such Report for commercial purposes, other than	orts and Statements may not be sold or used by any pers using the name and address of any political committee to	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)	F SMALL BUSINESS INVESTMENT COMPANIE	
Full Name (Last, First, Middle Initial Mr. Thomas H. Jenkins		Date of Receipt
Mailing Address 60 South 6th 5	St.	09 21 2009
City	State Zip Code	Transaction ID: SA11AI.7096
Minneapolis	MN 55402	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Marquette Capital Partners	Occupation Investment Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial Matthew Keis		Date of Receipt
Mailing Address 20 Williams S	t Ste 250	12 10 / Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: SA11AI.7092
Wellesley	MA 02481	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Gemini Investors	Occupation Finance	
Receipt For:	Aggregate Year-to-Date ▼	
Primary ☐ General Other (specify) ▼	500.00	
Full Name (Last, First, Middle Initial Mr. Monro B. Lanier, III)	Date of Receipt
Mailing Address 301 Washingt #301	on Street	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: SA11AI.7083
<u>Huntsville</u>	AL 35801	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Hickory Venture Capital Corp.	Occupation Investment Executive	
Receipt For:	Aggregate Year-to-Date ▼	_
Primary General Other (specify) ▼	1000.00	

SCHEDULE A (FEC Form 3X	()	Use separate schedule(s)	FOR LINE NUMBER: PAGE 15 / 25 (check only one)
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and or for commercial purposes, other than using	d Statements ma the name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMA	ALL DUCINES	S INIVESTMENT COMPANIE	S DOLITICAL ACTION COM
MITTEE	ALL DUSINES	3 INVESTIMENT COMPANIE	
Full Name (Last, First, Middle Initial) Mr. David Millet			Date of Receipt
Mailing Address 20 William Street			12 10 2009
City	State	Zip Code	Transaction ID: SA11AI.7091
Wellesley	MA	02481	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		750.00
Name of Employer Gemini Investors, LLC	Occupatio Investme	n ent Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 750.00	
Full Name (Last, First, Middle Initial) Mr. Jeffrey Newton			Date of Receipt
Mailing Address 20 William Street			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: SA11AI.7090
Wellesley	MA	02481	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		750.00
Name of Employer Gemini Investors, LLC	Occupatio Investme	n ent Executive	
Receipt For: Primary General	Aggregate	e Year-to-Date ▼	
Other (specify)		750.00	
Full Name (Last, First, Middle Initial) Mr. Paul L. Novak	I		Date of Receipt
Mailing Address 11 South Meramec Suite 1430			09 18 2009
City St. Louis	State MO	Zip Code 63105	Transaction ID: SA11AI.7076 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		500.00
Name of Employer Capital For Business, Inc.	Occupatio Investme	n ent Executive	
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 500.00	
SUBTOTAL of Receipts This Page (optional)	······	2000.00

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Receipt For:

Primary

Other (specify)

General

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 25 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMAL MITTEE	L BUSINES	S INVESTMENT COMPANIE	ES POLITICAL ACTION COM-
Full Name (Last, First, Middle Initial) James T Rich			Date of Receipt
Mailing Address 20 William Street, Sui	te 250		12 10 2009
City	State	Zip Code	Transaction ID: SA11AI.7094
Wellesley	MA	02481	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Gemini Investors	Occupation Finance	on	
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Molly Simmons	•		Date of Receipt
Mailing Address 225 South Sixth Stree #4350	t		12 10 7 2009
City	State	Zip Code	Transaction ID: SA11AI.7088
<u>Minneapolis</u>	MN	55402	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		500.00
Name of Employer Gemini Investors	Occupation Investment	on ent Executive	

Aggregate Year-to-Date ▼

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SUBTOTAL of Receipts This Page (optional)	•	1000.00
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 25 (check only one) 11a 11b X 11c 12 13 14 15 16 17
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MITTEE MITTEE	L BUSINESS INVESTIMENT COMPANIE	5 FOLITICAL ACTION CON-
Full Name (Last, First, Middle Initial) COMMERCE BANCS PAC		Date of Receipt
Mailing Address 922 WALNUT SUITE PO BOX 13686	800	09 18 2009
City	State Zip Code	Transaction ID: SA11C.7080
KANSAS CITY	MO 64199	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00072967	1250.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 1250.00	

SUBTOTAL of Receipts This Page (optional)	_	1250.00
TOTAL This Period (last page this line number only)	<u> </u>	1250.00

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					for the purpose of soliciting contributions colicit contributions from such committee
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	First, Middle Initial) FOR SENATE				Transaction ID: SB23.7037 Date of Disbursement
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Purpose of Disb				011	1000.00
	FOR SENATE			Category/ Type	
Office Sought:	X Senate President	Disbursement For: X Primary Other (sp	2010 General eccify)		
State: MD	District: 03				
•	First, Middle Initial) CONGRESS 2010				Transaction ID: SB23.7042 Date of Disbursement
Mailing Address	P.O. Box 2010				$\begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$
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Purpose of Disb				011	1000.00
Candidate Name BLAINE FOR	CONGRESS 2010			Category/ Type	
Office Sought:	X House Senate President	Disbursement For: X Primary Other (sp	2010 General ecify)		
,	District: 09 First, Middle Initial) R FOR SENATE				Transaction ID: SB23.7047 Date of Disbursement
Mailing Address	PO BOX 848				$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
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Candidate Name BOB CORKE	R FOR SENATE			Category/ Type	
Office Sought:	House X Senate President	Disbursement For: Primary Other (sp	2008 X General ecify) ▼		
State: TN	District: 00				

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SCHEDULE B (FEC Form 3X)

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FRIENDS OF CHRIS DODD						_	sburse				
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NAME OF COMMITTEE (In Full) NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL BUSINESS INVESTMENT COMPANIES POLITICAL ACTION COMMITTEE Full Name (Last, First, Middle Initial) FRIENDS OF ERIK PAULSEN Mailing Address P.O. Box 44369 250 Prairie Center Drive City Eden Prairie MN 55344 Purpose of Disbursement Candidate Name FRIENDS OF MARY LANDRIEU INC Mailing Address Office Sought: WashingTon Office Sought: House Versident State: LA District: 03 Full Name (Last, First, Middle Initial) FRIENDS OF MARY LANDRIEU INC Mailing Address Office Sought: WashingTon Office Sought: House Versident State: LA District: 03 Full Name (Last, First, Middle Initial) FRIENDS OF MARY LANDRIEU INC Mailing Address Office Sought: WashingTon Office Sought: House Versident State: LA District: 03 Amount of Each Disbursement this Perio Transaction ID: SB23.7029 Date of Disbursement Transaction ID: SB23.7029 Date of Disbursement this Perio Transaction ID: SB23.7029 Date of Disbursement Transaction ID: SB23.7029 Date of Disbursement Transaction ID: SB23.7029 Date of Disbursement Transaction ID: SB23.7029 Date of Disbursement this Perio Transaction ID: SB23.7029 Date of Disbursement Transaction ID: SB23.7029 Date	[[EMIZED DISBURS	EMENTS			21b	22 X 23 24 25
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WÁSHINGTON Purpose of Disbursement Candidate Name FRIENDS OF MARY LANDRIEU INC Office Sought: House X Senate President State: LA District: 00 Full Name (Last, First, Middle Initial) FRIENDS OF MARY LANDRIEU INC Mailing Address 607 14TH STREET NW SUITE 800 SUITE 1434 City WASHINGTON Purpose of Disbursement Candidate Name FRIENDS OF MARY LANDRIEU INC Office Sought: V Primary General Disbursement Transaction ID: SB23.7044 Date of Disbursement 1000.00 Amount of Each Disbursement this Perio Amount of Each Disbursement this Perio Candidate Name FRIENDS OF MARY LANDRIEU INC Office Sought: House X Primary General Other (specify) Other (specify) Other (specify) Other (specify) Other (specify) Other (specify)				IW SUITE 80	0		07 08 2009
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FRIENDS OF MARY LANDRIEU INC Office Sought: House X Senate President President State: LA District: 00 Full Name (Last, First, Middle Initial) FRIENDS OF MARY LANDRIEU INC Mailing Address 607 14TH STREET NW SUITE 800 SUITE 1434 City State Zip Code WASHINGTON DC 20005 Purpose of Disbursement Candidate Name FRIENDS OF MARY LANDRIEU INC Candidate Name FRIENDS OF MARY LANDRIEU INC Office Sought: House X Senate President President Other (specify) General Other (specify) General Other (specify) General Other (specify) Frimary General O		Purpose of Disbursement				011	1000.00
X Senate President State: LA District: 00 Full Name (Last, First, Middle Initial) FRIENDS OF MARY LANDRIEU INC Mailing Address 607 14TH STREET NW SUITE 800 SUITE 1434 City WASHINGTON DC 20005 Purpose of Disbursement Candidate Name FRIENDS OF MARY LANDRIEU INC Office Sought: Washing Address State Amount of Each Disbursement this Perior Category/ Type Other (specify) Transaction ID: SB23.7044 Date of Disbursement Amount of Each Disbursement this Perior Category/ Type Other (specify) Other (specify) Other (specify) Transaction ID: SB23.7044 Date of Disbursement Amount of Each Disbursement this Perior Category/ Type Other (specify) Other (specify) Other (specify) Other (specify) Other (specify) Other (specify)			NDRIEU INC				
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Office Sought: House Disbursement For: 2010 X Senate X Primary General President Other (specify) ▼			NDRIEU INC			Category/	
State: LA District: 00		χ Senat	e	X Primary	General		
			20 I				

	EB (FEC Form 3	Use sepa	arate schedule(s)	_	NUMBER: PAGE 22 / 25
ITEMIZED	DISBURSEMENT	for each	category of the Summary Page	(check only	7 one)
					or the purpose of soliciting contributions licit contributions from such committee
NAME OF CO	DMMITTEE (In Full)	<u> </u>			POLITICAL ACTION COM-
,	ast, First, Middle Initial) OF SCHUMER				Transaction ID: SB23.7027 Date of Disbursement
Mailing Addre	ss 509 MADISON A	AVE SUITE 1902			$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & 7 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ O & 8 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & O & O & 9 \end{smallmatrix} \end{bmatrix}$
City NEW YORI	<	State NY	Zip Code 10022		Amount of Each Disbursement this Perio
Purpose of D				011	1000.00
	OF SCHUMER	Disbursement For:	2010	Category/ Type	
Office Sough State: NY	X Senate President	X Primary Other (spe	General		
Full Name (La	District: 00 ast, First, Middle Initial) OR CONGRESS				Transaction ID: SB23.7031 Date of Disbursement
Mailing Addre	ess 2345 Grand, Su	ite 2400		$\begin{bmatrix}\begin{smallmatrix}M\\07\end{smallmatrix}^M & \begin{smallmatrix}D\\21\end{smallmatrix}\end{bmatrix} \begin{bmatrix}\begin{smallmatrix}D\\21\end{smallmatrix}\end{bmatrix} \begin{bmatrix}\begin{smallmatrix}Y\\2009\end{smallmatrix}^Y \\ &2009\end{smallmatrix}$	
City Kansas City	/	State MO	Zip Code 64108		Amount of Each Disbursement this Period
Purpose of D	sbursement			011	1000.00
Candidate Na GRAVES F	me OR CONGRESS			Category/ Type	
Office Sough	t: X House Senate President	Disbursement For: X Primary Other (spe	2010 General ecify) ▼		
State: MO	District: 06				
Full Name (La JAZZ PAC	ast, First, Middle Initial)				Transaction ID: SB23.7035 Date of Disbursement
Mailing Addre	ess 607 14th Street, SUITE 800	NW, Suite 800			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 & M \end{smallmatrix} & \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 9 \end{bmatrix} & \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{bmatrix} \end{bmatrix}$
City Washingtor		State DC	Zip Code 20005		Amount of Each Disbursement this Perio
Purpose of D Candidate Na				011	1000.00
JAZZ PAC		B. I		Category/ Type	
Office Sough	Senate	Disbursement For: X Primary Other (spe	2010 General		
State [.]	President District:	(0]	• •		
State:	President District:	(0]	• •		

SCHEDULE B (FEC FOIII 3X)	Use separate schedule(s)	(check only	E NUMBER: PAGE 23 / 25		
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29		
ny Information copied from such Reports and State r for commercial purposes, other than using the na					
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL E	•				
Full Name (Last, First, Middle Initial) JIM HIMES FOR CONGRESS			Transaction ID: SB23.7048 Date of Disbursement		
Mailing Address 857 Post Road, #312			$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 2 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & D \\ 0 & 2 \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} $		
City Fairfield	State Zip Code CT 06824		Amount of Each Disbursement this Period		
Purpose of Disbursement		011	1000.00		
Candidate Name JIM HIMES FOR CONGRESS		Category/ Type			
X	sement For: 2010 K Primary General Other (specify)				
Full Name (Last, First, Middle Initial) JIM HIMES FOR CONGRESS			Transaction ID: SB23.7054 Date of Disbursement		
Mailing Address 857 Post Road, #312		$\begin{bmatrix} \begin{smallmatrix} M & 2 & M \\ 1 & 2 & M \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & 0 & D \\ & 0 & 2 \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & 0 & Y \\ & 2 & 0 & 0 & 9 \end{bmatrix}$			
City Fairfield	State Zip Code CT 06824		Amount of Each Disbursement this Perio		
Purpose of Disbursement		011	1000.00		
Candidate Name JIM HIMES FOR CONGRESS		Category/ Type			
	sement For: 2010 ☐ Primary ☐ General ☐ Other (specify) ▼				
Full Name (Last, First, Middle Initial) LARSON FOR CONGRESS			Transaction ID: SB23.7057 Date of Disbursement		
Mailing Address 29 RUFF CIRCLE			12 0 9 7 2 0 0 9		
City GLASTONBURY	State Zip Code CT 06033		Amount of Each Disbursement this Perio		
Purpose of Disbursement					
Candidate Name LARSON FOR CONGRESS		Category/ Type			
9 1	sement For: 2010 K Primary General Other (specify) ▼				
			4000.00		

SCHEDULE B (FEC Form 3X)

	Use separate schedule(NE NUMBER: PAGE 24 / 25 only one)
FEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	—	<u> </u>
ny Information copied from such Reports and State r for commercial purposes, other than using the nan			
NAME OF COMMITTEE (In Full) NATIONAL ASSOCIATION OF SMALL B MITTEE	USINESS INVESTMEN	T COMPANI	ES POLITICAL ACTION COM-
Full Name (Last, First, Middle Initial) NATIONAL REPUBLICAN SENATORIAL	COMMITTEE		Transaction ID: SB23.7038 Date of Disbursement
Mailing Address 425 SECOND STREET	NE		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
City WASHINGTON	State Zip Code DC 20002		Amount of Each Disbursement this Perio
Purpose of Disbursement		011	5000.00
Candidate Name NATIONAL REPUBLICAN SENATORIAL	COMMITTEE	Category/ Type	
	sement For: 2010 ⟨ Primary Genera Other (specify) ▼	l	
Full Name (Last, First, Middle Initial) SHELBY FOR U S SENATE			Transaction ID: SB23.7045 Date of Disbursement
Mailing Address POST OFFICE BOX 10		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	
City TUSCALOOSA	State Zip Code AL 35403		Amount of Each Disbursement this Perio
Purpose of Disbursement		011	1000.00
Candidate Name SHELBY FOR U S SENATE		Category/ Type	1
ÿ	sement For: 2010 ✓ Primary Genera Other (specify) ▼	1	
Full Name (Last, First, Middle Initial) SHELLEY MOORE CAPITO FOR CONG		Transaction ID: SB23.7052 Date of Disbursement	
Mailing Address P.O. Box 11519		12 02 7 2009	
City Charleston		Amount of Each Disbursement this Perio	
Purpose of Disbursement	Purpose of Disbursement		
Candidate Name SHELLEY MOORE CAPITO FOR CONG	RESS	Category/ Type	
000 0 11 0 11	sement For: 2010		
9 1	C Primary General		

		B (FEC Form 3 SBURSEMENT	for each	arate schedule(s) category of the Summary Page	(check only	22 X 23 24 25 26
	or for commercial pu	rposes, other than using MITTEE (In Full)	g the name and addre	ss of any political	committee to so	28a 28b 28c 29 30b for the purpose of soliciting contributions licit contributions from such committee POLITICAL ACTION COM-
Α.	, ,	First, Middle Initial) ANAN FOR CONGR P. O. Box 48928				Transaction ID: SB23.7033 Date of Disbursement O 7 D 2 9 Y 2 0 0 9
	City Sarasota Purpose of Disbo		State FL	Zip Code 34230	011	Amount of Each Disbursement this Period 1000.00
		X House Senate President District: 13	Disbursement For: X Primary Other (spe	2010 General	Category/ Type	

SUBTOTAL of Disbursements This Page (optional)	•	1000.00
TOTAL This Period (last page this line number only)	<u> </u>	30500.00